I. INTRODUCTION

Bank of America serves as trustee, co-trustee, or agent to numerous private foundations. Many of these foundations are managed by Private Bank. In Rhode Island, the donors of 8 of these foundations have granted fairly broad discretion to Bank of America to design and execute a charitable grantmaking program to support nonprofit organizations serving vital community needs.

Working with either an internal distribution committee or in conjunction with a co-trustee, family member, advisory committee, or external advisor, we carefully evaluate grant proposals to ensure that meaningful grants are awarded in accordance with the philanthropic mission of each foundation. We have prepared these grantmaking guidelines as a tool to help the nonprofit community better understand the grantmaking goals and grant application process for these foundations.

There is a combined annual giving of almost $1 million from these foundations. The majority of grants are awarded in Rhode Island. However, there are 2 foundations with a geographic focus of Massachusetts. Please review Section IV of these guidelines to learn more about the various foundations and their geographic focus areas.

II. PHILANTHROPIC GOALS

Program Focus Areas

Through our support of charitable organizations, we seek to positively impact the lives of the traditionally underserved within our communities.

Because each foundation has a unique charitable giving area, we ask that you please refer to our website, www.bankofamerica.com/grantmaking, to learn more about the program focus areas. You can navigate to all of these foundations using the Find a Foundation search feature, and then you can research each respective foundation detail page for further details and giving preferences of the foundations.

Type of Support

The majority of grants are 1 year in duration. As each foundation has unique giving preferences for the type of support provided (program, operating, capital, etc.), we ask that you please refer to our website to learn more about the giving preferences and restrictions. As mentioned above, please visit our website to review the respective foundation detail pages for further details and giving preferences of the various foundations.

Grantee Lists

You may review each foundation’s most recent list of grantees in the ‘Grant History’ section of each Foundation Detail page. The Foundation Center (www.foundationcenter.org) provides interactive Foundation Maps as part of their eGrant Reporting Program. When you select the Grant History link on each of our Foundation Details pages, please review the Foundation Center’s FAQ section to learn more about how to navigate the Maps.
III. APPLICATION PROCEDURES

The Rhode Island office currently manages 8 discretionary foundations, each with its own mission statement and funding parameters. However, we have streamlined the application process. The first step in the application process is to select a foundation toward which to apply. This requires that you determine if there is a match in your organization’s work with the funding parameters of any of the foundations.

Before You Apply

Before you start the application process, thoroughly research the information provided at www.bankofamerica.com/grantmaking. The website provides detailed information about each foundation, and the Find a Foundation search feature may assist you further in selecting the various foundations. Specifically, we recommend that you thoroughly read the foundation detail pages, which are 1-page summaries on each foundation, and the Frequently Asked Questions (FAQ) tab which provides helpful overview information. After exploring our website, you should be able to determine:

1. An appropriate foundation by entering the Area Served and/or Program Type preference(s) in the search filter.

2. If your organization in fact meets the geographic and/or programmatic parameters of the specific foundation.

3. The proposal deadline of the specific foundation, ensuring that your proposal is submitted at the appropriate time of the year.

Refer to Section IV for brief detail about the geographic focus area(s) and proposal due dates of each foundation.

Submitting an Application

Please note that the majority of the foundations noted on page 3 have moved to an online application format. Please review each foundation detail page for more information including the Online Application Help document and the ‘Apply Now’ link. We look forward to your feedback on this process.

Note the following important submission information:

- Please do not include any additional attachments other than those specifically requested by the foundation
- Email submissions are not accepted
- Please submit online applications by 11:59 p.m. on the day of the foundation’s deadline date. If the deadline falls on a weekend or a federally recognized holiday, applications are due on the next business day by 11:59 p.m.
- Online grant applications will be accepted 24-hours a day, 7 days a week, generally on, or prior to the proposal due date. However, applicant inquiries can only be answered during normal business hours, Monday through Friday, 9 a.m. – 5 p.m. EST.
- Please be advised that technical support is NOT provided on weekends for password resets or general technical inquiries. Therefore it is best to start your application early, seek technical support during business hours, and to submit your application in advance of the due date.
Post Grant Reporting

A grant report is required within 1 year of the grant application date, regardless of whether all of the funds have been spent. You will be ineligible for future grant funding if this report is not received within a year of the grant award. We are in the process of moving to online grant reports, so check your MyAccount portal to see if there is a Requirement tab visible. Open the Requirement tab to see if there is a published grant report form specific to your last grant award, if applicable.

If the online grant report form is not yet visible, please use the grant evaluation form sent to you with the grant award letter. The hard copy completed grant report may be emailed to ma.ri.grantmaking@ustrust.com, but please be sure to include in the subject line the following information: “Post Grant Evaluation for the [Note the Foundation Name] and [Name of your organization]”

Organizations receiving a multi-year award are required to submit reports annually up until the end of the grant term.

IV. LIST OF FOUNDATIONS

Below is a list of foundations managed by the Massachusetts office, including geographic focus areas, corresponding application deadlines, and decision dates. Note that all of the foundations below, with the exception of the Emma G. Harris Foundation, have an open, online application process. For more information, review the foundation detail page for each individual foundation at www.bankofamerica.com/grantmaking.

<table>
<thead>
<tr>
<th>Name of Foundation</th>
<th>Geographic Focus of Foundation</th>
<th>Application Deadline*</th>
<th>Decision Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>The John Clarke Trust</td>
<td>RI; Aquidneck Island, Rhode Island, and within the East Bay area, RI</td>
<td>April 1 November 1</td>
<td>June 30 January 30 (of the following year)</td>
</tr>
<tr>
<td>Harry M., Miriam C. and William C. Horton Fund</td>
<td>RI*</td>
<td>November 1</td>
<td>March 31</td>
</tr>
<tr>
<td>Emma G. Harris Foundation</td>
<td>RI</td>
<td><strong>2 – Stage process</strong> Submit Letter of Inquiry online by June 1</td>
<td>Select applicants will receive an email invitation in June to submit a full application by August 1. Final decisions by December 31.</td>
</tr>
<tr>
<td>John D. &amp; Katherine A. Johnston Foundation</td>
<td>Newport, RI*</td>
<td>July 1</td>
<td>November 30</td>
</tr>
<tr>
<td>Vigneron Memorial Fund</td>
<td>Providence &amp; Narragansett, RI*</td>
<td>July 1</td>
<td>November 30</td>
</tr>
<tr>
<td>Grant Sherburne Fund</td>
<td>Rhode Island</td>
<td>September 1</td>
<td>December 31</td>
</tr>
<tr>
<td>Adelaide Dawson Lynch Trust</td>
<td>RI</td>
<td>October 1</td>
<td>December 31</td>
</tr>
<tr>
<td>Frank B. Hazard General Charity Fund</td>
<td>Providence, RI*</td>
<td>December 1</td>
<td>January 31 (of the following year)</td>
</tr>
</tbody>
</table>

*Indicates further geographic restriction
V. CONTACTS

Please feel free to email or call us to discuss your grant request before preparing a formal proposal. We use a centralized email inbox and phone number in order to most efficiently respond to your inquiries. Please allow at least 1-2 business days for a response, as we sometimes experience very heavy volumes of requests.

To contact us by email: ma.ri.grantmaking@ustrust.com
To contact us by phone: 1.866.778.6859

VI. FREQUENTLY ASKED QUESTIONS (FAQs)

The following FAQs provide Rhode Island-specific guidance, but we encourage you to also review the FAQ tab on the Search for Grants page www.bankofamerica.com/grantmaking for a complete understanding of the grantmaking process. On the FAQ tab, we provide more nationally oriented FAQs.

Grantmaking Process

1. Which foundation should we choose? Do I need to direct my proposal to a particular one?
   You will need to select a particular foundation to direct your application. Each of the 8 foundations that our group manages has its own mission statement and funding parameters. Please research these foundations on our website first, and if you have further questions, please feel free to contact us.

2. Who reviews my proposal and makes the final funding decision?
   After the proposal is received, the Philanthropic Administrator will conduct a preliminary check to ensure it is complete and meets with the funding parameters for the foundation. If the proposal is complete, additional staff will take the lead on reviewing the proposal, conducting site visits, and requesting any additional information if needed. Recommendations are then made in the context of all pending requests. These recommendations are then submitted to a Private Bank committee for final approval.

Applying for a Grant

3. How do I identify an appropriate dollar request?
   Foundations consider several factors when reviewing a request: the significance of the social need being addressed by the organization/project; how effectively the organization is working toward meeting that need; and the organization and/or project budget size.

4. Are there other Rhode Island-focused funding opportunities available from foundations beyond the 8 described in this document and on the website?
   Yes, please email ma.ri.grantmaking@ustrust.com for information about these foundations.