I. INTRODUCTION

Bank of America, N.A. serves as trustee, co-trustee or agent to numerous private foundations. Many of these foundations are managed by the Missouri Private Bank office. In Missouri, the donors of 16 of these foundations and charitable trusts have granted discretion to the Private Bank to design and execute charitable grantmaking programs to support nonprofit organizations serving vital community needs.

Working with either an internal distribution committee or in conjunction with a co-trustee, family member, advisory committee, or external advisors, we carefully evaluate grant proposals to ensure that meaningful grants are awarded in accordance with the philanthropic mission of each foundation. We have prepared these grantmaking guidelines as a tool to help the nonprofit community better understand the grantmaking goals and grant application processes for these foundations.

For the Missouri foundations, there is a combined annual giving of over $20 million dollars awarded to charitable organizations. The majority of these grants are awarded in Missouri. Please review Section IV of these guidelines to learn more about the various foundations and their geographic focus areas.

For information on submitting progress reports or final reports, please see pages 5, 6 & 7.

II. PHILANTHROPIC GOALS

Program Focus Areas

Through our support of charitable organizations, we strive both to strengthen the fabric of the community and to reflect the diverse interests of our donors. We award grants for a broad array of charitable purposes in the following five areas: arts, culture, and humanities; education; health; human services; and capacity building and infrastructure development. For more information, please refer to our website, [www.bankofamerica.com/grantmaking](http://www.bankofamerica.com/grantmaking), and the respective Foundation Detail pages for detailed information about these foundations. You can navigate to the foundations using the Find a Foundation search feature and research each respective Foundation Detail page for further details and giving preferences of the foundations.

Arts, Culture, & Humanities. The Trusts support programming that:
- Fosters the enjoyment and appreciation of the visual and performing arts
- Strengthens humanities and arts-related education programs
- Provides affordable access
- Enhances artistic elements in communities
- Nurtures a new generation of artists.

Education. We believe that quality education is fundamental to success and is essential to the continued well-being of our community. Consequently, we are interested in systemic school improvement, particularly in the
areas of management and teacher quality; academic programs that address the needs of disadvantaged children; and efforts to better connect schools with their communities.

Specifically, we fund programming that:
- Promotes effective teaching
- Improves the academic achievement of, or expands educational opportunities for, disadvantaged students
- Improves governance and management
- Strengthens nonprofit organizations, school leadership, and teaching
- Bolsters strategic initiatives of area colleges and universities.

**Health.** We pay special attention to the needs of society's most vulnerable people, including low-income children and their families, frail older adults, adults with disabilities, the homeless, and those with debilitating diseases and illnesses. We fund programming that:
- Improves the delivery of health care to the indigent, uninsured, and other vulnerable populations
- Addresses health and health care problems that intersect with social factors.

**Human Services.** We fund programming that:
- Strengthens agencies that deliver critical human services and maintains the community’s safety net
- Helps agencies respond to federal, state, and local public policy changes.

**Community Improvement & Capacity Building.** Recognizing the inherent value in the nonprofit sector, we support grantmaking that strengthens, raises the profile, and builds excellence in organizations that are critical to the vitality of the city and the region. We fund capacity building and infrastructure development projects including:
- Assessments, planning, and implementation of technology for management and programmatic functions within an organization
- Technical assistance on wide-ranging topics, including grant writing, strategic planning, financial management services, business development, board and volunteer management, and marketing
- Mergers, affiliations, or other restructuring efforts.

**Type of Support**

As each foundation has unique giving preferences for the type of support provided (program, operating, capital, etc.), we ask that you refer to our website to review the respective Foundation Detail pages for further details. Grants are 1 year in duration. However, if a project is expected to last longer than 1 year, the project’s budget may cover a period of up to 3 years, including the agency’s anticipated request of support in subsequent years.

In general, we do not provide grants to individuals; endowments; travel for individuals or groups when travel is the proposal’s primary focus; municipal and community services, such as police and fire protection; annual fundraising or membership drives; government staff positions, except in public schools; publications or audiovisual programs unless they are part of a larger project.

We only support capital requests, such as those for buildings, land, or major equipment, when they meet a compelling community need and offer a broad social benefit. When we do participate in a capital project, our support is contingent on funding from other sources. We generally do not authorize payment until the organization has raised a significant amount of its total dollar goal.
**Grantee Lists**

You may review each foundation’s most recent list of grantees in the ‘Grant History’ section of each Foundation Detail page. The Foundation Center ([www.foundationcenter.org](http://www.foundationcenter.org)) provides interactive Foundation Maps as part of their eGrant Reporting Program. When you select the Grant History link on each of our Foundation Details pages, please review the Foundation Center’s FAQ section to learn more about how to navigate the Maps.

**III. APPLICATION PROCEDURES**

The Missouri office currently manages a number of separate foundations, each with its own mission statement and funding parameters. However, we have streamlined the application process in an effort to ease the burden on charitable organizations. The first step in the application process is to select a foundation toward which to apply. This requires that you determine if there is a match in your organization’s work with the funding parameters of any of the foundations.

**Before You Apply**

Before you start the application process, we recommend that you thoroughly research the information provided at [www.bankofamerica.com/grantmaking](http://www.bankofamerica.com/grantmaking). The website provides detailed information about each foundation, and the Find a Foundation search feature may assist you further in selecting the various foundations. Specifically, we recommend that you thoroughly read the Foundation detail pages, which are 1-page summaries on each foundation, and the Frequently Asked Questions (FAQ) tab which provides helpful overview information. After exploring our website, you should be able to determine:

1. An appropriate foundation by entering the *Area Served* and/or *Program Type* preference(s) in the search filter

2. If your organization in fact meets the geographic and/or programmatic parameters of the specific foundation

3. The proposal deadline of the specific foundation, ensuring that your proposal is submitted at the appropriate time of the year

Please refer to Section IV for brief details about the geographic focus area(s) and proposal due dates of each foundation.
Submitting an Application

In general, only 1 application may be submitted per organization, per calendar year, for requests to the discretionary foundations listed below, specifically if the requests support the same geographic region. There are 2 exceptions to this rule noted below.

For example, if your organization submits an application to the Taylor S. Abernathy & Patti Harding Abernathy Charitable Trust in support of programs in KS, an additional application cannot be submitted within the same calendar year to the Louis & Elizabeth Nave Flarsheim Charitable Foundation in support of programs in KS.

In addition, if you submit a proposal to Victor E. Speas Foundation for the March deadline and your organization’s request is declined, your organization is not eligible to submit another proposal to any of the other foundations listed below (for the same geographic region) until the start of the following calendar year.

Similarly, if your organization receives a grant award from one of the foundations below, your organization is ineligible to re-apply toward any of the foundations noted below for the same geographic region until the end of your grant period.

- Taylor S. Abernathy & Patti Harding Abernathy Charitable Trust
- William J. Brace Charitable Trust
- Guy I. Bromley Trust
- Louetta M. Cowden Foundation
- Louis & Elizabeth Nave Flarsheim Charitable Foundation
- Walter S. & Evan C. Jones Testamentary Trust
- Lewis H. Humphreys Charitable Trust
- Carrie J. Loose Trust
- Edward F. Swinney Trust
- John W. Speas & Effie E. Speas Memorial Trust
- Victor E. Speas Foundation

Greater Kansas City Metro Area: KS, MO
Kansas City, MO
Atchison, KS, Greater Kansas City Metro Area: MO
Kansas City, MO
Greater Kansas City Metro Area: KS, MO
Coffey, Lyon, Osage Counties, KS
KS
Kansas City, MO
Kansas City, MO
Greater Kansas City Metro Area: KS, MO
Kansas City, MO

There are 2 exceptions to this rule, however.

Exception 1: You may submit an additional application to 1 of the 3 foundations named below even if an application has been submitted within the same calendar year to another Missouri foundation from the list above. This is because the 3 foundations below serve very specific geographic regions. For example, if you submit an application to the John W. Speas & Effie E. Speas Memorial Trust in support of a program benefitting Kansas City, MO, and another application to the Davis Family Trusts supporting Protestant Churches in MO, that is acceptable.

- George & Elizabeth Davis Family Trusts
- Christine and Katharina Pauly Charitable Trust
- K.D. & M.L. Steadley Memorial Trust
- Courtney S. Turner Charitable Trust

Protestant Churches and religious institutions, MO
Springfield, MO and surrounding SW Missouri
Carthage, MO
Atchison, KS

Exception 2: You may submit an additional application to one of the 4 foundations named below even if an application has been submitted within the same calendar year to another Missouri foundation from the list above. However, your additional request must be to support an alternative geographic area. For example, if you submit an application to the Lewis Humphreys to impact individuals in Topeka, KS and another application to the John W. Speas & Effie E. Speas Memorial Trust in support of a program benefitting Kansas City, MO, that is acceptable.

- Taylor S. Abernathy & Patti Harding Abernathy Charitable Trust
- Guy I. Bromley Trust
- Louis & Elizabeth Nave Flarsheim Charitable Foundation
- John W. Speas & Effie E. Speas Memorial Trust

Greater Kansas City Metro Area: KS, MO
Atchison, KS; Greater Kansas City Metro Area: MO
Greater Kansas City Metro Area: KS, MO
Greater Kansas City Metro Area: KS, MO
All of the foundations now require **online applications**. Please refer to each foundation’s detail page to access the online application. [www.bankofamerica.com/grantmaking](http://www.bankofamerica.com/grantmaking).

Please submit online applications by 11:59 p.m. on the day of the foundation’s deadline date. If the application deadline date falls on a weekend or a federally recognized holiday, we do not extend the deadline, therefore applications must be submitted on the prior business day by 11:59 p.m.

Online grant applications will be accepted 24-hours a day, 7 days a week, generally on, or prior to the proposal due date. However, applicant inquiries can only be answered during normal business hours, Monday through Friday, 9 a.m. – 5 p.m. EST. Please be advised that technical support is NOT provided on weekends for password resets or general technical inquiries. Therefore it is best to start your application early, seek technical support during business hours, and to submit your application in advance of the due date.

---

**Post Grant Reporting**

A grant report is required within 1 year of the grant application date, regardless of whether all of the funds have been spent. You will be ineligible for future grant funding if this report is not received within a year of the grant award. We are in the process of moving to online grant reports, so check your MyAccount portal to see if there is a Requirement tab visible. Open the Requirement tab to see if there is a published grant report form specific to your last grant award, if applicable.

If the online grant report form is not yet visible, please use our post grant evaluation form on pages 6 & 7. Your completed grant report may be emailed to mo.grantmaking@ustrust.com, but be sure to include in the subject line the following information: “Post Grant Evaluation for the [Note the Foundation Name] and [Name of your organization]”

Organizations receiving a multi-year award are required to submit reports annually up until the end of the grant term.
Missouri Post Grant Evaluation Report
Private Bank - Philanthropic Solutions

Agency name/grantee: _________________________________________________________________

Name of Foundation: __________________________________________________________________

We look forward to receiving a report on the progress of the funded program within one year of the grant award date. Please include brief responses to the following questions:

1. How did you fulfill the goals set by your organization in the grant application?

2. What are the lasting benefits of the project?

3. What problems did you encounter during the course of this project?

4. Please provide an itemized income and expense summary for the total project. Please indicate specific sources of all income. If there is a remaining grant balance, please explain here.

5. If this project is on-going, please list the sources for future funding.

6. What are the specific plans, if any, for continuing the work started by this project?
### Grant Expenditures

Please provide an itemized breakdown of grant expenditures.

<table>
<thead>
<tr>
<th>Item/Program/Service</th>
<th>Expenditure Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total Spent:_____________________________ Remaining Balance:_____________________________

Prepared by:_____________________________    _______________________________________

*Print Name*                     *Signature*

*Title*: ___________________________  *Date*: ___________________________
IV. LIST OF FOUNDATIONS

Below is a list of the major foundations managed by the Missouri office with geographic and program focus areas and application deadlines. All of the foundations below are now accepting proposal applications online. For more information, please review the Foundation details page for each individual foundation at www.bankofamerica.com/grantmaking.

<table>
<thead>
<tr>
<th>Foundation Name</th>
<th>Geographic Focus of Foundation</th>
<th>Program Type</th>
<th>Application Deadline</th>
<th>Decision Timeframe</th>
</tr>
</thead>
<tbody>
<tr>
<td>K.D. and M.L. Steadley Memorial Trust</td>
<td>MO*</td>
<td>Animal-Related; Arts, Culture, &amp; Humanities; Health; Housing &amp; Shelter; Human Services; Youth Development</td>
<td>March 15; November 15</td>
<td>Rolling</td>
</tr>
<tr>
<td>Lewis H. Humphreys Charitable Trust</td>
<td>KS*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>March 31</td>
<td>June 30</td>
</tr>
<tr>
<td>Taylor S. Abernathy &amp; Patti Harding Abernathy Charitable Trust</td>
<td>KS, MO*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>May 31</td>
<td>September 31</td>
</tr>
<tr>
<td>Guy I. Bromley Trust</td>
<td>KS, MO*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>May 31</td>
<td>September 31</td>
</tr>
<tr>
<td>Louis &amp; Elizabeth Nave Flarsheim Charitable Foundation</td>
<td>KS, MO*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>March 31</td>
<td>June 30</td>
</tr>
<tr>
<td>Carrie J. Loose Trust</td>
<td>MO</td>
<td>Community Improvement &amp; Capacity Building; Education; Health; Human Services; Research</td>
<td>June 30</td>
<td>October 31</td>
</tr>
<tr>
<td>Edward F. Swinney Trust</td>
<td>MO</td>
<td>Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>June 30</td>
<td>October 31</td>
</tr>
<tr>
<td>Louetta M. Cowden Foundation</td>
<td>MO*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>July 31</td>
<td>October 31</td>
</tr>
<tr>
<td>Trust/Foundations</td>
<td>Location</td>
<td>Focus Areas</td>
<td>Start Date</td>
<td>End Date</td>
</tr>
<tr>
<td>------------------------------------------</td>
<td>----------</td>
<td>--------------------------------------------------</td>
<td>------------</td>
<td>----------------</td>
</tr>
<tr>
<td>John W. Speas &amp; Effie E. Speas Memorial Trust</td>
<td>KS, MO*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>July 31</td>
<td>October 31</td>
</tr>
<tr>
<td>Victor E. Speas Foundation</td>
<td>MO*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>July 31</td>
<td>October 31</td>
</tr>
<tr>
<td>Christine and Katharina Pauly Charitable Trust</td>
<td>MO*</td>
<td>Education; Health; Human Services</td>
<td>September 1</td>
<td>December 31</td>
</tr>
<tr>
<td>John C. Lasko Foundation Trust</td>
<td>National</td>
<td>Religion-Related</td>
<td>September 30</td>
<td>Phase I Applicants will be notified of decisions in October</td>
</tr>
<tr>
<td>Courtney S. Turner Charitable Trust</td>
<td>Atchison, KS</td>
<td>Community Improvement &amp; Capacity Building; Education; &amp; Health and Human Services</td>
<td>September 30</td>
<td>December 31</td>
</tr>
<tr>
<td>William J. Brace Charitable Trust</td>
<td>MO*</td>
<td>Arts, Culture, &amp; Humanities; Community Improvement &amp; Capacity Building; Education; Health; Human Services</td>
<td>October 31</td>
<td>January 31</td>
</tr>
<tr>
<td>George &amp; Elizabeth Davis Trusts</td>
<td>MO*</td>
<td>Human Services; Religion-Related</td>
<td>October 31</td>
<td>January 31</td>
</tr>
<tr>
<td>Walter S. &amp; Evan C. Jones Testamentary Trust</td>
<td>KS*</td>
<td>Education; Health; Human Services; Community Improvement &amp; Capacity Building</td>
<td>Rolling</td>
<td>Prior to the next calendar quarter end</td>
</tr>
</tbody>
</table>

*Indicates a further geographic restriction.
Please submit online applications by 11:59 p.m. on the day of the foundation’s deadline date. If the application deadline date falls on a weekend or holiday, proposals must be submitted on the prior business day by 11:59 p.m.
V. CONTACTS

Please feel free to email us to discuss your grant request before preparing a formal proposal.

<table>
<thead>
<tr>
<th>Name</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tony Twyman</td>
<td><a href="mailto:tony.twyman@bofa.com">tony.twyman@bofa.com</a></td>
</tr>
<tr>
<td>SVP, Sr. Philanthropic Client Manager</td>
<td>1.816.292.4342</td>
</tr>
<tr>
<td>Taylor S. Abernathy &amp; Patti Harding Abernathy Charitable Trust</td>
<td></td>
</tr>
<tr>
<td>Louis &amp; Elizabeth Nave Flarsheim Charitable Foundation</td>
<td></td>
</tr>
<tr>
<td>William J. Brace Charitable Trust</td>
<td></td>
</tr>
<tr>
<td>Guy J. Bromley Trust</td>
<td></td>
</tr>
<tr>
<td>Louetta M. Cowden Foundation</td>
<td></td>
</tr>
<tr>
<td>George &amp; Elizabeth Davis Trusts</td>
<td></td>
</tr>
<tr>
<td>Lewis H. Humphreys Charitable Trust</td>
<td></td>
</tr>
<tr>
<td>Walter S. &amp; Evan C. Jones Testamentary Trust</td>
<td></td>
</tr>
<tr>
<td>John C. Lasko Foundation Trust</td>
<td></td>
</tr>
<tr>
<td>Carrie J. Loose Trust</td>
<td></td>
</tr>
<tr>
<td>Christine and Katharina Pauly Charitable Trust</td>
<td></td>
</tr>
<tr>
<td>John W. Speas &amp; Effie E. Speas Memorial Trust</td>
<td></td>
</tr>
<tr>
<td>Victor E. Speas Foundation</td>
<td></td>
</tr>
<tr>
<td>K.D. and M.L. Steadley Memorial Trust</td>
<td></td>
</tr>
<tr>
<td>Edward F. Swinney Trust</td>
<td></td>
</tr>
<tr>
<td>Courtney S. Turner Charitable Trust</td>
<td></td>
</tr>
<tr>
<td>Michael Guerra</td>
<td><a href="mailto:michael.guerra@bofa.com">michael.guerra@bofa.com</a></td>
</tr>
<tr>
<td>Philanthropic Administrator</td>
<td>1.312.828.8601</td>
</tr>
</tbody>
</table>
VI. FREQUENTLY ASKED QUESTIONS (FAQ)

The following FAQs provide Missouri-specific guidance, but we encourage you to also review the FAQ tab at www.bankofamerica.com/grantmaking for a complete understanding of the grantmaking process. On the FAQ tab, we provide more nationally oriented FAQs.

Grantmaking Process

1. Which foundation should we choose? Do I need to direct my proposal to a particular one?
The foundations managed by the Kansas City, MO office represented on the website have overlapping interest areas—arts, culture, & humanities; community improvement and capacity building; education; health; and human services. When the foundation’s interest areas are identical, there is no advantage (or disadvantage) to applying to one foundation over another. After reviewing the geographic or programmatic restrictions, please select a particular foundation to direct your application. If you have further questions, please feel free to contact us. Organizations are generally limited to one proposal per calendar year.

2. I applied to a particular foundation, but funding came from a different foundation. Why did this happen?
We will act in your best interest and may redirect the proposal if we believe there is a stronger chance of receiving funding elsewhere. For instance, sometimes there may be a better match in funding interests with another foundation, or the competition for dollars for that particular foundation may be higher than normal, and we want to give a strong proposal a “second chance.”

3. Who reviews my proposal and makes the final funding decision?
After the proposal is received, the Philanthropic Administrator will conduct a preliminary check to ensure it is complete and meets with the funding parameters for the foundation. If complete, additional staff may review the proposal, conduct site visits, and request additional information if needed. Once the due diligence process is complete, the Philanthropic Client Manager and staff may meet to discuss the merits of all proposals and make recommendations in the context of all pending requests. These recommendations are then submitted to our Discretionary Action Committee, which makes the final funding decisions. In some instances, we work closely with Co-Trustees in making the funding decisions for certain foundations.

As part of the review process, staff may contact other agencies, foundations, or individuals who have knowledge of the grant-seeking organization, conduct site visits, or invite you to discuss your proposal at the Bank. During this time, our staff may also suggest ways to refine your proposal.

Proposals that request significant amounts of funding, those that deal with complex or new programs, or those where significant questions remain unanswered will likely require longer review times. Staff will be able to advise you about the timetable for making a decision on your request.

Applying for a Grant

4. How do I identify an appropriate dollar request?
We do not pre-determine funding levels. Foundations consider several factors when reviewing a request, including the significance of the need being addressed by the organization/project; how effectively the organization is working toward meeting that need; the organization and/or project budget size; and the connection of the organization/budget to the foundation’s mission. Applicants should request the amount that meets the needs of the program, is consistent with the organization’s capacity, and accounts for other anticipated or received support. Grants generally range from $5,000 to $50,000.
5. **Should I apply for operating, program, or capital support?**
We place emphasis on the quality of the work being done and understand that organizations need various forms of support. We will consider many types of requests. Capital requests are rarely supported and must meet a compelling community need and offer a broad social benefit. We would advise organizations seeking capital support to contact us before submitting an application. Please review the individual Foundation Detail pages on the website for details about the types of support provided.

6. **Are there other Missouri-focused funding opportunities available from foundations beyond those described in this document and on the website?**
Yes. There are many private foundations managed at Private Bank and the Missouri Philanthropic Solutions Team. We have provided background and application information for certain foundations that support the Greater Kansas City Metropolitan or Saint Louis areas, where Private Bank associates are involved in the discretionary grantmaking aspects of managing the foundation.

7. **Should I apply for single or multi-year support?**
All grants awarded by the Missouri Philanthropic Solutions office are 1 year in duration. However, if a project is expected to last longer than one year, the project’s budget may cover a period of up to three years, including the agency’s anticipated request of support in subsequent years.

8. **Do you invest in start-up nonprofits or in programs that are not yet off the ground?**
We typically do not provide support for start-ups.